

## U(1) gauge theory + scalar field

## SU(N) gauge theory + scalar field

DOF

$$\phi(x) \in \mathbb{C} \quad U_\mu(x) \in U(1)$$

$$\phi(x) \in \mathbb{C}^N \quad U_\mu(x) \in SU(N)$$

Gauge transform.

$$\begin{aligned} \phi(x) &\rightarrow e^{i\alpha(x)} \phi(x) \\ U_\mu(x) &\rightarrow e^{i\alpha(x)} U_\mu(x) e^{i\alpha(x+a_\mu e_\mu)} \end{aligned}$$

$$\begin{aligned} \phi(x) &\rightarrow \mathcal{D}(x) \phi(x) \\ U_\mu(x) &\rightarrow \mathcal{D}(x) U_\mu(x) \mathcal{D}(x+a_\mu e_\mu) \end{aligned}$$

$$\alpha(x) \in \mathbb{R} \quad \text{i.e.} \quad e^{i\alpha(x)} \in U(1)$$

$$\mathcal{D}(x) \in SU(N)$$

①

Forward covariant derivative

$$D_\mu^F \phi(x) = \frac{1}{a} \left\{ U_\mu(x) \phi(x+a_\mu e_\mu) - \phi(x) \right\}$$

same

②

Action  
S = S<sub>g</sub> + S<sub>m</sub>

$$S_g = \frac{1}{2e^2} \sum_{x \in \Lambda} \sum_{\mu} \text{Re} \left[ 1 - W \left( \begin{array}{c} \square \\ x \quad a_\mu e_\mu \end{array} \right) \right] \quad \left\{ \begin{array}{l} \text{Wilson} \\ \text{or} \\ \text{plaquette} \\ \text{action} \end{array} \right\}$$

$$S_g = \frac{1}{g^2} \sum_{x \in \Lambda} \sum_{\mu} \text{Re tr} \left[ 1 - W \left( \begin{array}{c} \square \\ x \quad a_\mu e_\mu \end{array} \right) \right] \quad \textcircled{3}$$

$$S_m = \sum_{x \in \Lambda} a^4 \left\{ |D_\mu^F \phi|^2 + m^2 |\phi|^2 + \frac{d}{4!} |\phi|^4 \right\}$$

same

Path-integral measure

$$[d\phi] [d\phi^*] [dU] \equiv \prod_x \left\{ d\text{Re } \phi(x) d\text{Im } \phi(x) \prod_\mu dU_\mu(x) \right\}$$

④

$dU_\mu(x)$  is the rotational-invariant measure on the circle with unit length

$dU_\mu(x)$  is the Haar measure on SU(N)

① Let  $A_\mu(x)$  be a smooth gauge configuration

•  $A_\mu(x)$  is an  $N \times N$  hermitean traceless matrix

We identify

$$U_\mu(x) = W(x \rightarrow x + ae_\mu)$$

$$= \text{Pexp} \left\{ i \int_{x \rightarrow x+ae_\mu} dx_\rho A_\rho(x) \right\}$$

where " $x \rightarrow x + ae_\mu$ " is the straight segment from  $x$  to  $x + ae_\mu$ .

An explicit parametrisation is e.g.

$$x(s) = x + se_\mu \quad 0 \leq s \leq a$$

Under a gauge transformation  $\Omega(x) \in SU(N)$ :

$$A_\mu(x) \rightarrow \Omega(x) A_\mu(x) \Omega^\dagger(x) + i (\partial_\mu \Omega)(x) \Omega^\dagger(x)$$

$$U_\mu(x) \rightarrow \Omega(x) U_\mu(x) \Omega^\dagger(x + ae_\mu)$$

②

$$D_\mu^F \phi(x) = \frac{1}{a} \{ U_\mu(x) \phi(x+a e_\mu) - \phi(x) \}$$

Under a gauge transformation:

$$\begin{aligned} D_\mu^F \phi(x) &\rightarrow \frac{1}{a} \{ [\mathcal{D}_\mu(x) U_\mu(x) \cancel{\mathcal{D}_\mu^\dagger(x+a e_\mu)}] [\cancel{\mathcal{D}_\mu(x+a e_\mu)} \phi(x+a e_\mu)] - \mathcal{D}_\mu(x) \phi(x) \} \\ &= \mathcal{D}_\mu(x) D_\mu^F \phi(x) \quad \text{i.e. } D_\mu^F \phi(x) \text{ transforms like } \phi(x) \end{aligned}$$

$$\lim_{a \rightarrow 0} D_\mu^F \phi(x) = \lim_{a \rightarrow 0} \frac{\text{Peyp} \left\{ i \int_{x \rightarrow x+a e_\mu} dx_\nu A_\nu(x) \right\} \phi(x+a e_\mu) - \phi(x)}{a}$$

$$= \lim_{a \rightarrow 0} \frac{1}{a} \left\{ e^{i a A_\mu(x) + O(a^2)} [\phi(x) + a \partial_\mu \phi(x) + O(a^2)] - \phi(x) \right\}$$

$$= \lim_{a \rightarrow 0} \frac{1}{a} \left\{ [1 + i a A_\mu(x) + O(a^2)] [\phi(x) + a \partial_\mu \phi(x) + O(a^2)] - \phi(x) \right\}$$

$$= \lim_{a \rightarrow 0} \frac{1}{a} \left\{ i a A_\mu(x) \phi(x) + a \partial_\mu \phi(x) + O(a^2) \right\} = D_\mu \phi(x)$$

③  $W \left( \begin{array}{ccc} & x+ae_\nu & \\ \downarrow & \leftarrow & \downarrow \\ x & & x+ae_\mu \\ \rightarrow & & \uparrow \\ & x+ae_\mu & \end{array} \right) = U_\mu(x) U_\nu(x+ae_\mu) U_\mu(x+ae_\nu)^\dagger U_\nu(x)^\dagger$

(a)  $W(x+ae_\mu \rightarrow x) = W(x \rightarrow x+ae_\mu)^\dagger = U_\mu(x)^\dagger$

(b)  $U_\mu(x) = W(x \rightarrow x+ae_\mu) = \exp \{ i B_\mu(x) \}$  with  $B_\mu(x)^\dagger = B_\mu(x)$   $\text{tr} B_\mu(x) = 0$

$B_\mu(x) = a A_\mu(x) + \frac{a^2}{2} \mathcal{F} A_\mu(x) + O(a^3)$

(c)  $W(\square) = \exp \{ i C_{\mu\nu}(x) \}$  with  $C_{\mu\nu}(x)^\dagger = C_{\mu\nu}(x)$   $\text{tr} C_{\mu\nu}(x) = 0$

$C_{\mu\nu}(x) = a^2 F_{\mu\nu}(x) + O(a^3)$

[Baker-Campbell-Hausdorff formula]

(d)  $\text{Re tr} W(\square) = \text{Re tr} e^{i C_{\mu\nu}(x)} = \text{Re tr} \left\{ 1 + i C_{\mu\nu}(x) - \frac{1}{2} C_{\mu\nu}^2(x) + O(a^6) \right\}$

$= N - \frac{1}{2} \text{tr} C_{\mu\nu}^2(x) + O(a^6) = N - \frac{a^4}{2} \text{tr} F_{\mu\nu}^2(x) + O(a^5)$

$\lim_{a \rightarrow 0} \sum_{\mu\nu} \text{Re tr} [1 - W(\square)] = \lim_{a \rightarrow 0} \sum_{\mu\nu} \frac{a^4}{2} \text{tr} F_{\mu\nu}^2(x) = \sum_{\mu\nu} \int d^4x \frac{1}{2} \text{tr} F_{\mu\nu}^2$  [Yang-Mills action]

$$(a) \quad W(x+ae_\mu \rightarrow x) = W(x \rightarrow x+ae_\mu)^\dagger = U_\mu(x)^\dagger$$

Proof:

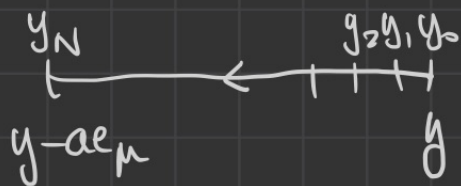


$$a = \Delta s N$$

$$x_j = x + j \Delta s e_\mu$$

$$j = 0, \dots, N$$

$$\begin{aligned} W(x \rightarrow x+ae_\mu) &= \lim_{\Delta s \rightarrow 0} e^{i e_\mu \cdot A(x_0)} e^{i e_\mu \cdot A(x_1)} \dots e^{i e_\mu \cdot A(x_{N-1})} \\ &= \lim_{\Delta s \rightarrow 0} e^{i A_\mu(x_0)} e^{i A_\mu(x_1)} \dots e^{i A_\mu(x_{N-1})} \end{aligned}$$



$$y = x + ae_\mu$$

$$y_j = y - j \Delta s e_\mu$$

$$\begin{aligned} W(x+ae_\mu \rightarrow x) &= W(x+ae_\mu \rightarrow x+ae_\mu - ae_\mu) = W(y \rightarrow y-ae_\mu) = \\ &= \lim_{\Delta s \rightarrow 0} e^{i(-e_\mu) \cdot A(y_0)} e^{i(-e_\mu) \cdot A(y_1)} \dots e^{i(-e_\mu) \cdot A(y_{N-1})} \\ &= \lim_{\Delta s \rightarrow 0} e^{-i A_\mu(x_N)} e^{-i A_\mu(x_{N-1})} \dots e^{-i A_\mu(x_1)} \\ &= \lim_{\Delta s \rightarrow 0} \left[ e^{i A_\mu(x_0)} e^{i A_\mu(x_1)} \dots e^{i A_\mu(x_N)} \right]^\dagger = W(x \rightarrow x+ae_\mu)^\dagger \end{aligned}$$

$$(b) \quad U_\mu(x) = W(x \rightarrow x + a e_\mu) = \exp\{i B_\mu(x)\} \quad \text{with} \quad B_\mu(x)^\dagger = B_\mu(x) \quad \text{tr} B_\mu(x) = 0$$

$$B_\mu(x) = a A_\mu(x) + \frac{a^2}{2} \mathcal{F} A_\mu(x) + O(a^3)$$

Proof:  $U$  is unitary hence diagonalizable, i.e.  $U = W \begin{pmatrix} \lambda_1 & & \\ & \ddots & \\ & & \lambda_N \end{pmatrix} W$  with  $W \in U(N)$

$$U \in U(N) \Rightarrow |\lambda_k| = 1 \Rightarrow \lambda_k = e^{i\varphi_k} \quad \text{with} \quad -\pi < \varphi_k \leq \pi$$

$$\det U = 1 \Rightarrow 1 = \prod_k e^{i\varphi_k} = e^{i \sum_k \varphi_k} \Rightarrow \sum_k \varphi_k = 2\pi n \quad \text{with} \quad n \in \mathbb{Z}$$

$$B = W \begin{pmatrix} \varphi_1 & & \\ & \ddots & \\ & & \varphi_N \end{pmatrix} W^\dagger: \quad B^\dagger = B, \quad \text{tr} B = \sum_k \varphi_k = 2\pi n, \quad e^{iB} = W \begin{pmatrix} e^{i\varphi_1} & & \\ & \ddots & \\ & & e^{i\varphi_N} \end{pmatrix} W^\dagger = U$$

$\hookrightarrow$  we need to prove  $n=0$

$$U(a) = 1 + O(a) \Rightarrow B = O(a)$$

$U(a)$  continuous in  $a \Rightarrow$  if  $a$  is small enough  $iB(a) = \ln U(a)$  is continuous in  $a$

$\Rightarrow \text{tr} B(a)$  takes discrete values and is continuous  $\Rightarrow \text{tr} B(a) = \text{constant} = \text{tr} B(0) = 0$

In order to prove  $B_p(x) = a A_p(x) + \frac{a^2}{2} \mathcal{D}_p A_p(x) + O(a^3)$

it is enough to prove that

$$\begin{aligned} U_p(x) &= W(x \rightarrow x+ae_p) = \exp\{i B_p(x)\} \\ &= 1 + i B_p(x) - \frac{1}{2} B_p(x)^2 + O(a^3) \\ &= 1 + ia A_p(x) + \frac{ia^2}{2} \mathcal{D}_p A_p(x) - \frac{a^2}{2} A_p(x)^2 + O(a^3) \end{aligned}$$

satisfies the Cauchy problem

$$\begin{cases} \frac{\partial}{\partial a} W(x \rightarrow x+ae_p) = i W(x \rightarrow x+ae_p) A_p(x+ae_p) & \rightarrow \text{let's look at this} \\ W(x \rightarrow x+ae_p)|_{a=0} = 1 & \rightarrow \text{this is trivial} \end{cases}$$

$$\text{lhs} = i A_p(x) + ia \mathcal{D}_p A_p(x) - a A_p(x)^2 + O(a^2)$$

$$\text{rhs} = i \{ 1 + ia A_p(x) + O(a^2) \} \{ A_p(x) + a \mathcal{D}_p A_p(x) + O(a^2) \}$$

} equal



④ Haar measure -  $SU(N)$  is a Lie group. In particular it is an  $(N^2-1)$ -dimensional space. Specifying an integration measure  $dU$  means to specify a way to measure hypervolumes in  $SU(N)$ .

Theorem: A unique integration measure  $dU$  exists in  $SU(N)$  with the following properties:

- $dU$  is invariant under left and right multiplication

$$\int_{SU(N)} dU f(VU) = \int_{SU(N)} dU f(U) = \int_{SU(N)} dU f(UV) \quad \text{for any } V \in SU(N)$$

[i.e.  $dU$  is invariant under change of variables  $U \rightarrow VU$  and  $U \rightarrow UV$ ]

- $\int dU = 1$

$dU$  is called "Haar measure".

## Construction:

- Choose a parametrization  $U(z)$  with  $\vec{z}_{\alpha=1, \dots, N^2-1}$  varying in a domain  $D$ .
- Define the metric  $g_{\alpha\beta}(z) = \text{tr} \left[ \frac{\partial U^\dagger}{\partial z^\alpha} \frac{\partial U}{\partial z^\beta} \right]$
- It is straightforward to prove that  $g$  is invariant under  $U(z) \rightarrow U(z') = V U(z)$  and  $U(z) \rightarrow U(z') = U(z) V$  with  $V \in \text{SU}(N)$
- The Haar measure, in coordinates  $z$ , is given by

$$\int dU f(U) = \int_D \left[ \prod_{\alpha} dz^{\alpha} \right] \sqrt{\det g(z)} f(U(z))$$

Exercise:

Prove that any  $SU(2)$  matrix can be written (uniquely) in the form

$$U = u_0 I_2 + i \underline{u} \cdot \underline{\sigma}$$

$$\text{with } u_0 \in \mathbb{R}, \underline{u} \in \mathbb{R}^3 \\ \text{and } u_0^2 + \underline{u}^2 = 1$$

i.e. the  $SU(2)$  group is identical to the 3-sphere.

Write the Haar measure for  $SU(2)$  using spherical coordinates for the 3-sphere.

## U(1) gauge theory + scalar field

## SU(N) gauge theory + scalar field

Gauge invariance

The action and the measure are invariant under gauge transformations

"Naive continuum limit"

Let  $A_\mu(x) \in \mathbb{R}$  and  $\varphi(x) \in \mathbb{C}$  be smooth fields in the continuum.

Let  $A_\mu(x)$  be a complex hermitean traceless  $N \times N$  matrix, smooth in  $x \in \mathbb{R}^4$ . Let  $\varphi(x) \in \mathbb{C}$  be smooth in  $x \in \mathbb{R}^4$ .

If we identify

$$U_\mu(x) = W(x \rightarrow x + a e_\mu) = e^{i \int_0^a ds A_\mu(x + s e_\mu)}$$

If we identify

$$U_\mu(x) = W(x \rightarrow x + a e_\mu) = \mathcal{P} \exp \int_0^a ds A_\mu(x + s e_\mu)$$

then

$$\lim_{a \rightarrow 0} S_{\text{lat}}(U, \varphi) = \int_x \left\{ \frac{1}{4e^2} F_{\mu\nu}^2 + |D_\mu \varphi|^2 + m^2 |\varphi|^2 + \frac{\lambda}{4} |\varphi|^4 \right\}$$

then

$$\lim_{a \rightarrow 0} S_{\text{lat}}(U, \varphi) = \int_x \left\{ \frac{1}{2e^2} \text{tr} F_{\mu\nu}^2 + |D_\mu \varphi|^2 + m^2 |\varphi|^2 + \frac{\lambda}{4} |\varphi|^4 \right\}$$

Finiteness of  $Z$

$$Z = \int [d\varphi d\varphi^\dagger dU] e^{-S_{\text{lat}}(U, \varphi)}$$

is finite on a finite lattice (no need of gauge-fixing)



$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \quad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad \sigma_i \sigma_j = \delta_{ij} \mathbb{1} + i \varepsilon_{ijk} \sigma_k$$

$\{\mathbb{1}, \sigma_1, \sigma_2, \sigma_3\}$  is a basis for all  $2 \times 2$  matrices

Important identities  $\{\sigma_i, \sigma_j\} = 2\delta_{ij} \mathbb{1} \quad [\sigma_i, \sigma_j] = 2i \varepsilon_{ijk} \sigma_k$

$$\sigma_i \sigma_j = \frac{1}{2} \{\sigma_i, \sigma_j\} + \frac{1}{2} [\sigma_i, \sigma_j] = \delta_{ij} \mathbb{1} + i \varepsilon_{ijk} \sigma_k$$

$$(\underline{a} \cdot \underline{\sigma})(\underline{b} \cdot \underline{\sigma}) = \underline{a} \cdot \underline{b} \mathbb{1} + i(\underline{a} \wedge \underline{b}) \cdot \underline{\sigma}$$

$$A = a_0 \mathbb{1} + \underline{a} \cdot \underline{\sigma} = \begin{pmatrix} a_0 + a_3 & a_1 - ia_2 \\ a_1 + ia_2 & a_0 - a_3 \end{pmatrix}$$

$$\det A = a_0^2 - \underline{a}^2$$

$$A^{-1} = \frac{1}{a_0^2 - \underline{a}^2} \begin{pmatrix} a_0 - a_3 & -a_1 + ia_2 \\ -a_1 - ia_2 & a_0 + a_3 \end{pmatrix} = \frac{a_0 - \underline{a} \cdot \underline{\sigma}}{a_0^2 - \underline{a}^2}$$

$$U^\dagger U = \mathbb{1} \Leftrightarrow U^\dagger = U^{-1} \Leftrightarrow u_0^* + \underline{u}^* \cdot \underline{\sigma} = \frac{u_0 - \underline{u} \cdot \underline{\sigma}}{u_0^2 - \underline{u}^2} \Leftrightarrow u_0^* = \frac{u_0}{u_0^2 - \underline{u}^2}, \quad \underline{u}^* = -\frac{\underline{u}}{u_0^2 - \underline{u}^2}$$

$$U \in \text{SU}(2) \Leftrightarrow u_0^2 - \underline{u}^2 = 1, \quad u_0^* = u_0, \quad \underline{u}^* = -\underline{u} \Leftrightarrow U = \cos \vartheta + i \hat{n} \cdot \underline{\sigma} \sin \vartheta \quad \text{with } |\hat{n}| = 1, \hat{n} \in \mathbb{R}^3$$

$$AB = (a_0 \mathbb{1} + \underline{a} \cdot \underline{\sigma})(b_0 \mathbb{1} + \underline{b} \cdot \underline{\sigma}) = a_0 b_0 + \underline{a} \cdot \underline{b} + (a_0 \underline{b} + b_0 \underline{a} + i \underline{a} \wedge \underline{b}) \cdot \underline{\sigma}$$